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# Research Article

# Oxygen-Containing Nanoclusters on the Surface of Pt-Electrodes and Oxygen Reduction Reaction in Alkaline Medium

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Analysis of the role of oxygen-containing nanoclusters in oxygen reduction reaction (ORR) on Pt-electrodes in alkaline media is provided on the basis of the concept of electrochemical processes with slowed stage of consecutive heterogeneous chemical reaction (ConHCR). Under the ConHCR concept, the main factor determining the ORR characteristics is energetic inhomogeneity of electrode surface (EIES) according to Temkin. A new concept, according to which EIES is determined by the Gibbs energy of formation of oxygen-containing surface structures with inclusions of surface defects of the platinum crystal structure, s,dPt, is formulated. A correlation between the level of EIES of Pt-electrodes and packing density of s,dPt atoms on the surface of Pt(hkl) monocrystals is determined. The concept, according to which the stationary potential of ORR process is considered as a "mixed potential" of two reactions (electrochemical reduction of surface atom s,dPtI and consecutive oxidation of s,dPtI by molecular oxygen), is substantiated. It is proposed that the formation of surface nanocluster transition state [\*(OO) s,dPtI (OH)] defines the rate of the entire ORR process on Pt-electrodes in alkaline media.

## 1. Introduction

Oxygen reduction reaction (ORR) is used in many electrochemical energy transformation devices (e.g., in low-temperature fuel cells). ORR process is experimentally studied in detail and, as reflected in a number of classical reviews [1–4], there is an experimental determination that platinum and platinum based alloys are characterized by the highest electrochemical activity. Nevertheless, analysis of the ORR literature data indicates that oxygen Pt-electrodes have some characteristic features which still do not have a systematic explanation. For example, one can note the following experimental facts.

Fact #1. In experimental conditions, the standard value of oxygen electrode potential  $E = 1.23 \,\mathrm{V}$  in relation to the reversible hydrogen electrode (versus RHE) is *not* reproduced in either acidic (reaction (1)) or alkaline (reaction (2)) media:

$$O_2 + 4H^+ + 4e^- \longleftrightarrow 2H_2O \tag{1}$$

$$O_2 + 2H_2O + 4e^- \longleftrightarrow 4OH^-$$
 (2)

As a rule, the stationary oxygen potential on polycrystalline [1–4] and monocrystalline [5–8] Pt-electrodes is reported within 0.9–1.1 V (versus RHE) range.

Fact #2. There is an observation of existence on polarization curves for Pt-electrodes [9] of the current interval  $(10^{-7}-10^{-6}\,\mathrm{A\,sm^{-2}})$  without an appreciative change of the potential.

Fact #3. According to cyclic voltammogram (CVA) data, oxygen-containing surface structures (OSS) are formed on the surface of Pt-electrodes at the range of ORR potentials [5–8,10]. There is a report [5] that these structures influence ORR process. Thus, in a solution of HClO<sub>4</sub> (0.1 M), ORR process on Pt(111) monocrystal proceeds in accordance with reaction (1). An addition of KBr solution (8  $\cdot$  10<sup>-4</sup> M) sharply changes the direction of ORR (the hydrogen peroxide H<sub>2</sub>O<sub>2</sub> is formed instead of H<sub>2</sub>O molecule). The change of reaction direction correlates with change of state of monocrystal surface. Introduction of Br $^-$  ions leads to complete disappearance of peaks of formation of OSS on CVA (see Table 1).

Electualists	Potential, V (vs RHE)				
Electrolyte	Interval of anodic current	Peak of anodic current	Peak of cathodic current	$E_{ m start}$	ORR product
0.1 M HClO <sub>4</sub>	0.55-0.85	0.75	0.75	0.90	H <sub>2</sub> O
$0.1 \mathrm{M} \;\mathrm{HClO_4} + 10^{-4} \mathrm{M} \;\mathrm{KBr}$	Absence of anodic current	Absence of anodic current	Absence of cathodic current	0.65	$H_2O_2$

Table 1: Characteristics of CVA, potential of ORR start,  $E_{\text{start}}$ , ORR products on Pt(111) monocrystalline electrode [5].

Fact #4. In 1 M  $\rm H_2SO_4$  solution, ORR process on Pt(hkl) monocrystal electrodes proceeds in accordance with reaction (1) at 0.90–0.95 V (versus RHE). Addition of 0.1 M HCl solution sharply changes the potentials of start of ORR process down to 0.720–0.620 V (the potentials of  $\rm H_2O_2$  formation) [11].

Usage of concept of electrochemical process with slowed stage of consecutive heterogeneous chemical reaction (Con-HCR) for systematic interpretation of characteristic parameters of ORR is substantiated in the author's publications [12, 13]. In accordance with the concept, the ORR is described by Tafel equation with coefficient  $b = \nu RT/(p\beta nF)$ , where  $\nu$  is the stoichiometric number (number of repetitions of the slow stage of heterogenous chemical reaction), p is the reaction order with respect to oxygen, n is the number of electrons involved in the electrochemical act before the slow stage, and  $\beta$  is the parameter describing the energetic *inhomogeneity* of the electrode surface according to Temkin (0 <  $\beta$  < 1). The  $\beta$  = 1 parameter value corresponds to energetic *homogeneity* of the electrode surface. An analysis of the literature data resulted in the author's conclusion about dependence of parameter  $\beta$  on type of Pt(hkl) monocrystals. It indicates energetic heterogeneousness of surface platinum atoms. In the publications cited above, the authors also proved a concept according to which the ORR process is realized with participation of surface binuclear oxygen (SBNO) nanoclusters on the base of OSS formed on the surface of Pt-electrodes in molecular oxygen atmosphere.

In the current work, analysis of energy characteristics of OSS (which serve as building blocks of SBNO nanoclusters) on surface of Pt-electrodes in alkaline medium is performed; these characteristics are compared with ORR process parameters. The analysis resulted in a new structure of SBNO nanoclusters and new multistage scheme of redox cycle of ORR with participation of SBNO nanoclusters.

## 2. Comparison of ORR Characteristics with Parameters of Oxygen-Containing Structures on Surface of Pt-Electrodes

2.1. Energy Characteristics of Electrochemical Reactions of Surface of Pt-Electrodes in Nitrogen Atmosphere (Alkaline Media). In studies [14, 15] by Appleby the "relative  $\Delta G_{\rm ads}(E)$ " parameter was used for energy characterization of adsorbed oxygencontaining –OH and/or –O particles on surface of metal electrodes. According to Appleby's concept, this parameter is a formal thermodynamic equivalent of the electrode potential. Analysis of dependence of current characteristics of oxygen electrodes on base of Os, Ru, Rh, Pd, Pt, and Au in 85% solution of  ${\rm H_3PO_4}$  at 25°C was performed with utilization of "relative  $\Delta G_{\rm ads}(E'')$ " parameter [15]. Appleby used volts as a

measurement unit; the parameter was linked to the potential at which, according to anodic cyclic voltammetry, formation of oxygen-containing particles is started.

Appleby's concept about possibility of utilization of potential of formation of surface oxygen-containing particles as the energy characteristic is used in the current work for analysis of surface electrochemical processes on Ptelectrodes. The parameter  $_{s,d}\Delta G^*$  is used as the energy characteristic. The parameter is formally defined as Gibbs energy of electrochemical process by the classical equation

$$_{\rm s,d}\Delta G^* = -nFE,$$
 (3

where n is the number of electrochemical process electrons, E is the potential, in relation to the standard hydrogen electrode (SHE), at which the electrochemical process takes place, and F is the Faraday constant. The surface Pt atoms are also defects of the platinum crystal lattice; the energy characteristics of such atoms may differ from energy characteristics of crystal body's atoms; therefore, to highlight this, the subscripts s and d are used in the description of  $_{\rm s,d}\Delta G^*$  parameter. The subscripts reflect terms "surface" and "defect" correspondingly.

The following equation was discussed in the review [4] as a probable electrochemical reaction on Pt-electrodes in acidic media in the absence of molecular oxygen:

$$Pt(OH)_2 + 2H^+ + 2e^- \longleftrightarrow Pt + 2H_2O.$$
 (4)

The standard potential of this reaction is equal to 0.98 V (according to data from review [4] and handbook [16]). In alkaline solutions, reaction (4) should be rewritten as

$$Pt (OH)_2 + 2e^- \longleftrightarrow Pt + 2OH^-$$

$$\Delta G^0_{(298 \text{ K})} = -29 \text{ kJ mol}^{-1},$$
(5)

with the standard reaction potential equal to 0.15 V [16]. In (5), the standard value of Gibbs energy was calculated according to (3). Taking into account value of Gibbs energy  $\Delta G^0_{(298 \text{ K})}(\text{OH}^-) = -157.3 \text{ kJ mol}^{-1}$  [3], the Gibbs energy of formation of Pt(OH)<sub>2</sub> hydroxide was calculated on the basis of data in (5). The obtained value of Gibbs energy  $\Delta G^0_{(298 \text{ K})} \text{Pt}(\text{OH})_2 = -285 \text{ kJ mol}^{-1}$  is in agreement with the reference value of  $-286 \text{ kJ mol}^{-1}$  [17].

By taking into account the fact that surface Pt atoms are defects of crystal lattice [12], (5) may be presented in different format:

$$_{s,d}Pt - 2e^{-} + 2OH^{-} \longleftrightarrow _{s,d}Pt (OH)_{2},$$
 (6)

where <sub>s,d</sub>Pt abbreviation denotes *surface* platinum atom which is, at the same time, a *defect* of the crystal lattice. Let us

Table 2: Potentials of intervals of cyclic voltammograms and peaks potentials of anodic and cathodic currents at various temperatures on monocrystalline [7, 8] and polycrystalline [3, 10] Pt-electrodes.

			Potentials*, V (vs RHE)					
Electrode materials	Temperature, K	Interval	Peak I		Peak II			
		IIIICI Vai	anodic branch	cathodic branch	anodic branch	cathodic branch		
Pt(111)	293	0.6-1.1	0.78	0.78	1.05	1.00**		
	333		0.78	0.78	0.95	0.90		
Pt(110)	293	0.2-1.0	0.30**	0.45	0.75	0.65		
	333		0.25	0.50	0.75	0.70		
Pt(100)	293	0.2-1.1	0.60**	0.60	1.00	0.95		
	333	0.2-1.1	0.60	0.60	0.98	0.95		
Pt smooth	293	0.5-1.2	0.7	Absence of peak	1.1	0.80		

<sup>\*</sup>The values are approximate; they are results of author's processing of graphical data from publications [3, 7, 8, 10]; \*\*Poorly defined peak; \*\*\*There are significant currents between peaks I and II on cyclic voltammograms' anodic branches for Pt(110) and Pt(100) monocrystalline and polycrystalline Pt-electrodes.

note that reaction (6), contrary to reaction (5), is written in anodic format. Therefore, for this reaction, the Gibbs energy is designated with  $_{s,d}\Delta G_a^*$  symbol, where subscript a indicates anodic process. Calculation of the parameter is carried out according to (3) on condition that  $_{s,d}\Delta G_a^* = -_{s,d}\Delta G^*$ .

Reaction (6), in principle, may occur in two stages. In first stage, oxygen-containing <sub>s,d</sub>Pt<sup>I</sup>(OH) structure would be formed:

$$_{s,d}Pt - e^{-} + OH^{-} \longleftrightarrow {_{s,d}Pt}^{I}(OH).$$
 (7)

In the second stage,  ${}_{s,d} \mathrm{Pt}^{\mathrm{II}} (\mathrm{OH})_2$  structure will be formed:

$$_{s,d}Pt^{I}\left(OH\right)-e^{-}+OH^{-}\longleftrightarrow _{s,d}Pt^{II}\left(OH\right)_{2}.$$
 (8)

Symbols "I" and "II" reflect valence state of s,d Pt atom as there is a difficulty in interpretation of the value of positive charge of the surface atom. In the authors' opinion, the two-stage variant of the process finds reflection on anodic and cathodic CVA branches. The results of analysis of literature data on monocrystalline and polycrystalline Pt-electrodes are presented in Table 2.

Table 2 data indicates that two peaks of anodic current appear on anodic branches of cyclograms only for Pt(111) monocrystals and polycrystalline Pt-electrodes. Let us note that the second peak of anodic current on monocrystalline [7, 8] and polycrystalline [3, 10] Pt-electrodes takes place within the 1.0-1.1 V (versus RHE) region of stationary ORR potentials. On monocrystals Pt(110) and Pt(100), only the second anodic current peak is clearly seen. The first peak on these monocrystals is poorly defined. Reversibility of the processes for both anodic current peaks on cathodic branches of CVA is most pronounced at elevated temperatures [7, 8]. On Pt(110) and Pt(100) monocrystals, reversibility is observed at all tested temperatures [7, 8]. On cathodic branches of cyclograms for polycrystalline Pt-electrodes reversibility is absent for both peaks of anodic current [3, 10]. On the other hand, one may interpret the potential of cathodic peak at 0.8 V (versus RHE) as a summary of potentials of huge number of various monocrystals Pt (hkl) which are presented on the surface of polycrystalline platinum as a complicated

mosaic. Indeed, according to Table 2 data medium sum of potentials of peaks II on cathodic branches CVA for Pt(111), Pt(110), and Pt(100) monocrystals is equal to 0.87~V (versus RHE) at 293~K temperature.

During analysis of CVAs, one should take into account the character of location of  $_{\rm s,d}$ Pt atoms on Pt(hlk) monocrystals surface; differences in CVA characters are, in author's opinion, consequences of various locations of  $_{\rm s,d}$ Pt atoms on surface of Pt (hkl) monocrystals. In principle, by taking into account radius of Pt atoms (139 pm) and lattice parameter (392 pm) the character of density of atoms packing may be reflected with  $_{\rm s,d}$ N<sub>Pt</sub> parameter (quantity of  $_{\rm s,d}$ Pt atoms on 1 nm²). The most dense packing of  $_{\rm s,d}$ Pt atoms is the characteristic of Pt(111) monocrystals. For such packing each surface atom is surrounded by 6 other atoms on interatomic distance of 278 pm (see Figure 1).

Monocrystals Pt(110) are characterized by the least dense surface atoms  $_{s,d}Pt$  ( $_{s,d}N_{Pt}$  = 9) packing. Two peculiarities of atoms distribution take place in this case. First, each atom has a close contact with only 2 other surface atoms (on interatomic distance of 278 pm). Second, electrolyte components obtain access to subsurface atoms of Pt crystal due to large interatomic distance (392 pm) between "remote neighbors" s.dPt atoms in the surface layer. Monocrystals Pt(100) are characterized by medium density of surface atoms s.dPt  $(_{s,t}N_{Pt} = 13)$  packing. For this packing there are following peculiarities of atoms distribution. First, each surface atom has only 4 neighbor s,dPt atoms on interatomic distance of 278 pm. Second, for the medium dense packing electrolyte components also have access into subsurface platinum atoms due to large interatomic distance (392 pm) between "remote neighbors" <sub>s,d</sub>Pt atoms.

Due to monolithicity of surface layer of platinum atoms on Pt(111) monocrystal the proceeding of reactions (7) and (8) is expressed on CVA as two well defined anodic peaks within a fairly narrow interval of potentials (see Table 2). One may assume that peak I with anodic CVA branch maximum at 0.78 V (versus RHE) (which could be recalculated as -0.05 V (versus SHE)) corresponds to proceeding of reaction (7). Therefore, peak II with anodic CVA branch maximum at 1.05 V (versus RHE) (recalculated as 0.22 V (versus SHE))

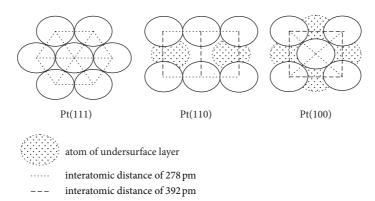


FIGURE 1: Scheme of atoms' locations on the surface of Pt(hkl) monocrystals.

corresponds to proceeding of reaction (8). According to (3) values of  $_{\rm s,d}\Delta G_a^*$ , parameter calculated for -0.05 and  $0.22\,\rm V$  (versus SHE) potentials is equal to -5 and  $21\,\rm kJ\,mol^{-1}$ , correspondingly. Let us note that the subscript symbol a in description of  $_{\rm s,d}\Delta G_a^*$  parameter reflects correspondence of reactions (7) and (8) to anodic process. Following the described above method the values of  $_{\rm s,d}\Delta G_a^*$  parameter were calculated for other Pt-electrode types (see Table 3).

Analysis of Table 3 data leads to the following conclusions.

- (1) The surface oxygen-containing structure  $_{\rm s,d}{\rm Pt}^{\rm I}$  OH in alkaline media and absence of molecular oxygen could be considered as a stable formation on all Pt-electrode types (as for reaction (7)  $_{\rm s,d}\Delta G_a^*<0$ ).
- (2) The surface oxygen-containing structure  $_{\rm s,d}{\rm Pt}^{\rm II}({\rm OH})_2$  in alkaline media is not stable formations on smooth metal, Pt (111) and Pt(100) monocrystal Pt-electrodes. These structures decompose down to  $_{\rm s,d}{\rm Pt}^{\rm I}$  OH as for reaction (8)  $_{\rm s,d}\Delta G_a{}^*>0$ .

Parameters  $_{s,d}\Delta G_a^*$  and  $_{s,d}\Delta G_k^*$  of formation of oxygencontaining structures  $_{s,d}\mathrm{Pt^{II}}(\mathrm{OH})_2$  and  $_{sd}\mathrm{Pt^{I}}$  OH were calculated from Table 3 data. The parameters were determined for monocrystalline Pt(hkl) and polycrystalline Pt-electrodes (see Table 4). Note that the subscript symbol k in description of  $_{s,d}\Delta G_k^*$  parameter reflects correspondence of reactions (7) and (8) to cathodic process. The calculations were performed for maximums of peaks on anodic and cathodic CVA branches (see Table 2). Only single wide peak is observed on cathodic CVA branch (see Table 2) for smooth platinum electrodes. Such peak could be interpreted as summing result of multiple surface Pt(hkl) monocrystals. Therefore, for smooth platinum the parameter  $_{s,d}\Delta G_k^*$  is calculated as 1/3 of sum of parameter values for Pt(111), Pt(110), and Pt(100) monocrystals.

Analysis of Table 4 data leads to the following conclusions.

(1) A correlation between values of  $_{\rm s,d}\Delta G_a^*$  and  $_{\rm s,d}N_{\rm Pt}$  parameters is detected. For all surface oxygen-containing structures decrease of density of surface atoms packing correlates with increase of parameter  $_{\rm s,d}\Delta G_a^*$  absolute value. For oxygen-containing structure  $_{\rm s,d}{\rm Pt^{II}(OH)_2}$  the decrease of parameter  $_{\rm s,d}N_{\rm Pt}$  values in 17, 13, 9 atoms row corresponds to increase of absolute values of parameter  $_{\rm s,d}\Delta G_a^*$  in -298,

- -320, and -373 kJ mol<sup>-1</sup> row. For oxygen-containing structure  $_{\rm s,d}{\rm Pt}^{\rm I}({\rm OH})$  the decrease of parameter  $_{\rm s,d}{\rm N}_{\rm Pt}$  values in the same atoms row corresponds to increase of absolute values of parameter  $_{\rm s,d}{\Delta G_a}^*$  in -162, -179, and -208 kJ mol<sup>-1</sup> row.
- (2) Parameter  $_{\rm s,d}\Delta G_a^*$  values for oxygen-containing structures on smooth and Pt (111) Pt-electrodes are close to each other (300 and 298 kJ mol $^{-1}$  for  $_{\rm s,d}$  Pt $^{\rm I}$ (OH) and 169 and 162 kJ mol $^{-1}$  for  $_{\rm s,d}$  Pt $^{\rm I}$ (OH) $_2$ , correspondingly). The closeness of parameter  $_{\rm s,d}\Delta G_a^*$  values means that the energy properties of polycrystalline platinum in the anodic process correspond to the most dense packing of surface atoms on Pt(111) monocrystals.
- (3) Nearly identical values of parameters  $_{\rm s,d}\Delta G_a^*$  and  $_{\rm s,d}\Delta G_k^*$  for cases of Pt(111) and Pt(100) monocrystals reflect reversibility of reaction (7) and, correspondingly, the equality of potentials of peaks I on anodic and cathodic CVA branches (see Table 2).
- (4) The parameters  $_{\rm s,d}\Delta G_a{}^*$  presented in Table 4 shall be considered as data for surface oxygen-containing structures which were obtained in specific experimental conditions. It is caused by a fact that values of  $_{\rm s,d}\Delta G_a{}^*$  parameters are directly connected with values of anodic peak on CVA. Note that values of  $_{s,d}\Delta G_a^*$  parameter correspond to potentials of anodic peaks on smooth Pt-electrodes at 0.7 and 1.1 V (see Table 2). However, in study [18] during investigation of CVA on smooth Pt-electrodes in KOH solutions with various concentrations (from 0.1 to 6.9 M) a weak appearance of two anodic peaks on CVA was observed at different potentials. First peak the authors connected with platinum oxidation up to PtOH structure. The potential of this peak fluctuated within 0.840 to 0.820 V (versus RHE) range. The second peak the authors associated with formation of Pt(OH)2 structure. The potential of the second peak fluctuated within 0.96 to 1.00 V (versus RHE) interval.

The values of parameter  $_{\rm s,d}\Delta G^*$  presented in Table 4 are directly related to potentials of anodic peaks I and II from Table 2. Let us note that the character of dependence of anodic current on potential within the range of potentials between the peaks I and II depends on type of Pt(hkl) monocrystals and surface packing density of platinum atoms ( $_{\rm s,d}R$  parameter) [13]. In a case of the most dense packing of  $_{\rm s,d}$ Pt atoms corresponding to the Pt(111) monocrystals, a

Electrode materials	Potentia	al, V (vs SHE)	$_{\rm s,d}\Delta G_a^{\ \ *}$ , kJ·mol $^{-1}$		
Electrode materials	Peak I	Peak II	Reaction (7)	Reaction (8)	
Pt(111)	-0.05	0.22	<b>-</b> 5	21	
Pt(110)	-0.53	-0.08	-51	-8	
Pt(100)	-0.23	0.17	-22	16	
Pt smooth	-0.13	0.27	-12	26	

Table 3: Potentials of CVA anodic peaks and  $_{\rm s,d}\Delta G_a^{\ *}$  parameter of electrochemical reactions (7) and (8) on Pt-electrodes.

Table 4: Parameter  $_{s,d}\Delta G^*$  for oxygen-containing structures on the surface of Pt-electrodes in nitrogen atmosphere.

	$_{\rm s,d}\Delta G^*$ , kJ·mol <sup>-1</sup>							
Structure	$Pt(111)$ $\binom{s,d}{s,d}N_{Pt} = 17$		$Pt(100)$ $\binom{s,d}{s,d}N_{Pt} = 13$		Pt(110)  (s,d NPt = 9)		Pt smooth	
	$_{\rm s,d}\Delta G_a^{*}$	$_{ m s,d}\Delta G_k^{\ \ *}$	$_{\rm s,d}\Delta G_a^{*}$	$_{\mathrm{s,d}}\Delta G_{k}^{*}$	$_{\rm s,d}\Delta G_a^{*}$	$_{\mathrm{s,d}}\Delta G_{k}^{*}$	$_{\mathrm{s,d}}\Delta G_{a}^{*}$	$_{\mathrm{s,d}}\Delta G_{k}^{*}$
<sub>s,d</sub> Pt <sup>I</sup> OH calculation according to reaction (7)	-162	-162	-179	-179	-208	-194	-169	$(-178)^*$
<sub>s,d</sub> Pt <sup>II</sup> (OH) <sub>2</sub> Calculation according to reaction (8)	-298	-303	-320	-324	-373	-300	-300	$(-309)^*$

<sup>\*</sup>averaged value of  $_{s,d}\Delta G_k^*$  parameters on Pt(hkl) monocrystals.

sharp decrease of anodic current (between the peaks I and II) is observed up to the middle of the range of potentials between peaks I and II [7, 8]. It follows with a sharp increase of the anodic current. The observed character of dependence of anodic current on potential on Pt(111) monocrystals proves simultaneous participation of all  $_{\rm s,d}$ Pt atoms in reaction (7), and later in reaction (8). It indicates energetic homogeneity of electrode surface according to Temkin on Pt(111) monocrystals. Correspondingly the parameter  $\beta$  in the Tafel equation is close to 1 [13].

In a case of Pt(110), Pt(100) monocrystals, and polycrystalline Pt-electrodes the range of potentials between weak peaks I and II is characterized by practically the same values of the anodic current (without clearly defined minimum of the current) [7, 8, 10]. The observed character of dependence of anodic current on potential on Pt(110) and Pt(100) monocrystals indicates that the atoms with various energetic characteristics participate in reactions (7) and (8). For example, the platinum atoms from the surface and subsurface layers take part in the above reactions (see Figure 1). It indicates an existence of *energetic inhomogeneity* of electrode surface according to Temkin on such monocrystals. Correspondingly, the parameter  $\beta$  in the Tafel equation is significantly smaller than 1 [13].

The surface of polycrystalline Pt-electrode is a complex combination of Pt(hkl) monocrystals. Therefore, the energetic properties of surface of all Pt(hkl) monocrystals shall contribute to ORR process. The analysis of literature data indicated that value of parameter  $\beta$  depends on intensity of ORR process. In the range of low values of anodic current, the parameter  $\beta$  is equal to 1; it corresponds to *energetic homogeneity* of electrode surface according to Temkin. In the range of high values of anodic current, the parameter  $\beta$  is sharply decreased down to 0.3–0.5 range; it corresponds to *energetic inhomogeneity* of electrode surface according to Temkin. Therefore, one may assume that at low current values the ORR process is primarily realized via participation

of surface atoms of Pt(111) monocrystals. Correspondingly at high current values, the surface atoms from Pt(110) and Pt(100) monocrystals get involved in the ORR process.

The presented conclusions are alternative to the concepts currently used in the literature for interpretation of electrochemical processes on platinum electrodes in inert atmosphere. For example, in studies [19, 20] for analysis of "electrosorption" processes a formation of surface structures Pt<sub>3</sub>/OH and Pt<sub>3</sub>/(OH)<sub>2</sub> is proposed on Pt(111) monocrystals and formation of Pt<sub>4</sub>/OH, Pt<sub>2</sub>/OH, Pt/OH structures is proposed on Pt(100) monocrystals. The Pt<sub>x</sub>/OH structures, in opinion of the studies' authors, are not stoichiometric formations. The structures only reflect ratio of neutral Pt atoms and OH particulates on the surface of platinum electrode. Particles OH are formed, according to the studies' authors, as a result of proton and electron loss by water molecule at high positive potentials (reaction Pt +  $H_2O \leftrightarrow Pt/OH + H^+ + e^-$ ). But there is very low probability that hypothesis of formation of OH radical at potentials 0.9-1.0 V (versus RHE) is correct one. The fact is that the standard potential of reaction  $OH_{(r)}$  +  $e^- \leftrightarrow OH^-$  is equal to 2.02 V [16] (which is equivalent to 2.85 V (versus RHE)). Therefore, interpretation of electrochemical processes in absence of molecular oxygen as "electrosorption" of OH radicals on polycrystalline Pt-electrodes is seen as one which does not correspond to the real processes.

In studies [2, 3] oxygen-containing particles, formed as a result of electrochemical processes on Pt-electrodes in absence of molecular oxygen, are interpreted as "chemisorbed oxygen". Schemes which were proposed in study [2] for a case of Pt-electrodes in alkaline media have a simple format:  $Pt + OH^- \leftrightarrow PtOH + e^-$ . Unfortunately, in the above studies attention is not accented on nature of oxygen particle "OH" and on energy state of Pt atoms. Therefore, in the above interpretation it is still unclear whether discharge of  $OH^-$  happens with formation of OH radical or electrochemical oxidation of surface Pt atom takes place. In the current article a small probability of formation of OH radical was already

Electrode material		$_{\rm s,d}\Delta G_a^{\ \ *}$ , kJ·mol <sup>-1</sup>	
Electrode material	reaction (10)	reaction (11)	reaction (12)
Pt(111)	-44	-17	-61
Pt(110)	-90	-46	-136
Pt(100)	-60	-23	-83
Pt smooth	-51	-12	-63

Table 5: Parameter  $_{s,d}\Delta G_a^*$  for chemical reactions (10)–(12) on Pt-electrodes.

mentioned. The author considered formation of surface oxygen-containing structure <sub>s,d</sub>Pt<sup>I</sup>(OH) according to reaction (7) as the most probable pathway explaining electrochemical processes on Pt-electrodes in absence of molecular oxygen.

2.2. Energy Characteristics of Electrochemical Reactions of Surface of Pt-Electrodes in Molecular Oxygen Atmosphere (Alkaline Media). Estimations of energy parameters of reaction,

Pt + 0.5O<sub>2</sub> + H<sub>2</sub>O 
$$\longleftrightarrow$$
 Pt (OH)<sub>2</sub>,  

$$\Delta G^{0}_{(298 \text{ K})} = -48 \text{ kJ mol}^{-1},$$
(9)

indicate that chemical oxidation of smooth platinum is highly probable in KOH solutions in molecular oxygen atmosphere. For calculation of  $\Delta G^0_{(298\,\mathrm{K})}$  parameter the values  $\Delta G^0_{(298\,\mathrm{K})}(\mathrm{H_2O}) = -237.2\,\mathrm{kJ\,mol^{-1}}$  [3] and  $\Delta G^0_{(298\,\mathrm{K})}$  (Pt(OH)<sub>2</sub>) =  $-285\,\mathrm{kJ\,mol^{-1}}$  were used (see (5) related calculations). Correspondingly, oxidation of surface of Pt(hkl) monocrystals and polycrystalline Pt is also a highly probable pathway. The following reactions may proceed in the presence of molecular oxygen on surface of Pt(hkl) monocrystals and smooth Pt in KON solutions:

$$_{s,d}$$
Pt + 0.25O<sub>2</sub> + 0.5H<sub>2</sub>O  $\longleftrightarrow$   $_{s,d}$ Pt<sup>I</sup> (OH), (10)

$$_{sd}Pt^{I}(OH) + 0.25O_{2} + 0.5H_{2}O \longleftrightarrow _{sd}Pt^{II}(OH)_{2},$$
 (11)

$$_{sd}Pt + 0.5O_2 + H_2O \longleftrightarrow _{sd}Pt^{II}(OH)_2$$
. (12)

To calculate values of  $_{\rm s,d}\Delta G_a^{\ *}$  parameter for reactions (10)–(12) the values of  $_{\rm s,d}\Delta G_a^{\ *}$  parameter for corresponding oxygen-containing structures from Table 4 were used. The results of such calculations are presented in Table 5.

Analysis of Table 5 data leads to the following conclusions.

- (1) Molecular oxygen in KOH solutions is able to oxidize atoms of smooth Pt (for reaction (9)  $\Delta G^0_{(298\,\mathrm{K})} < 0$ ) and defects of crystal lattice <sub>s,d</sub>Pt (for reactions (10), (11), and (12) <sub>s,d</sub> $\Delta G_a^* < 0$ ).
- (2) The  $_{s,d}$ Pt<sup>1</sup>(OH) structure may be oxidized by molecular oxygen as for reaction (11) the value of parameter  $_{s,d}\Delta G_a^*$  is less than zero ( $_{s,d}\Delta G_a^*$  < 0) on all types of Pt-electrodes.
- (3) In the presence of molecular oxygen  $_{\rm s,d}{\rm Pt}^{\rm II}({\rm OH})_2$  structure becomes a stable formation as for reaction (12)  $_{\rm s,d}\Delta G_a^*<0$  on all types of Pt-electrodes.
- (4) Energy properties of smooth Pt are the most close to those of Pt(111) monocrystals. For example, for reaction

- (12) values of parameter  $_{s,d}\Delta G_a^*$  are equal to -63 and -61 kJ mol<sup>-1</sup>, correspondingly.
- (5) Parameter  $_{\rm s,d}\Delta G_a^{\ *}$  for formation of surface oxygencontaining  $_{\rm s,d}{\rm Pt^{II}(OH)_2}$  structure on smooth Pt in the result of chemical reaction (12) has higher absolute value than  $\Delta G^0_{(298\,{\rm K})}$  value of formation of hydroxide Pt(OH)<sub>2</sub> in the result of reaction (9) (-63 and -48 kJ mol<sup>-1</sup>, correspondingly).
- 2.3. Comparison of ORR Characteristics in Alkaline Media with Parameters of Oxygen-Containing Formations on Pt-Electrodes. Consecutive realization of cathodic variant of reactions (8) and (11) is a core of the concept of ORR process with slowed stage of ConGHR with participation of SBNO nanoclusters [12, 13]. Binuclear nanoclusters model is based on a concept of proceeding of ORR process with participation of two Pt atoms ("bridge model" [21]). Let us note that binuclear model was used in theoretical study [22]. Surface binuclear oxygen structure from two neutral Pt atoms Pt-O<sub>2</sub>--Pt was a basis of that study. In the study a model based on multinuclear cluster Pt<sub>5</sub>O<sub>2</sub>(ads) was also utilized.

Reaction (11) factually unites two electrochemical systems: cathodic reaction (2) and anodic reaction (8). Potential of cathodic reaction (2) in KOH solution is equal to 1.23 V (versus RHE). Anodic potential,  $E_{a(8)}$ , of reaction (8) corresponds to potentials of anodic peaks II (see Table 2). As a result, the averaged potential,  $E_{\text{mix}}$ , at which reaction (11)) may proceed is established. Similar methods of calculation of parameter  $E_{\text{mix}}$  ("mixed potential") were used in studies [23, 24] for investigation of stationary potentials on Ptelectrodes in 2 N H<sub>2</sub>SO<sub>4</sub>. The studies' author assumed that platinum surface is not inert in relation to molecular oxygen and that reaction Pt-O +  $2H^+$  +  $2e^- \leftrightarrow Pt + H_2O$  is realized in acidic solutions. The standard potential of this reaction is equal to 0.90 V [16]. Therefore, a "mixed potential" with some intermediate value in the 0.9 to 1.23 V range should be observed on Pt-electrodes. The stationary potential with values within narrow 1.17-1.16 V (versus RHE) interval was observed in experimental studies [23, 24]; it was explained by the studies' author as manifestation of the "mixed potential". Let us note that potential of cathodic reaction (2) remains practically unchanged value in a course of reaction (11) in the oxygen atmosphere. The potential of anodic reaction (8) which factually defines the potential of platinum electrode depends on level of oxidation of platinum electrode surface. In the ideal case when whole surface of platinum electrode is covered by the oxygen-containing s,dPt<sup>11</sup>(OH)<sub>2</sub> structures the potential of platinum electrode may reach

Pt smooth (H<sub>2</sub>SO<sub>4</sub>)

-0.18

Electrode materials	Potential $E_{\text{start}}$ , V (vs RHE)	Polarization $\eta_{ m mix}$ , V
$Pt(111) (_{s,d}N_{Pt} = 17)$	1.05	-0.18
$Pt(100) (_{s,d}N_{Pt} = 13)$	0.95	-0.28
$Pt(110) (_{s,d}N_{Pt} = 9)$	0.9	-0.33
Pt smooth (KOH)	1.1	-0.13

1.05

Table 6: Potential of ORR start,  $E_{\text{start}}$ , and activation polarization,  $\eta_{\text{mix}}$ , for monocrystalline (in KOH solution [7, 8]) and smooth (in KOH and H<sub>2</sub>SO<sub>4</sub> solutions [2]) Pt-electrodes.

1.23 V value. But in real experiments, presence of various impurities in the electrolyte which have an ability to reduce the oxygen-containing  $_{\rm s,d}{\rm Pt^{II}}({\rm OH})_2$  structures does not allow the electrode potential to reach 1.23 V value [4]. Effect of achievement of 1.23 V potential on Pt-electrode is reported in study [25]. The effect was achieved due to preliminary electrolyte purification via 60-hour anodic electrolysis. But within several hours the 1.23 V potential gradually decreased to 1.1 V value. In experiments with alkaline electrolytes without high purification the currentless potential  $E_{\rm mix}$  is reported in the 0.95–1.10 V range [2, 4, 25–27].

Let us introduce parameter, s,dR, to reflect a relation between surface Pt atoms with different valence s,dPt<sup>11</sup>/s,dPt<sup>1</sup> in accordance with reaction (8). Estimation of parameter  $_{\rm s,d}R$  value for platinum electrode surface may be performed with classical Nernst equation  $_{s,d}E_{mix} = E^*_{a(8)} + 0.06 \lg(_{s,d}R)$ , where  $E^*_{a(8)}$  is the potential of surface oxygen-containing formation on Pt-electrodes at  $_{s,d}R \approx 1$ . The ratio  $_{s,d}Pt^{11}/_{s,d}Pt^{12}$ is sharply increased after treatment of Pt-electrode by anodic current (preliminary anodic oxidation) or by strong oxidizer (e.g., by concentrated HNO<sub>3</sub>). Correspondingly, the parameter  $_{\rm s,d}R_{(8)}$  is also increased (  $_{\rm s,d}R_{(8)}\gg 1$ ) after such treatment, and parameter  $_{\rm s.d}E_{\rm mix}$  could reach values of standard oxygen electrode of 1.23 V and even exceed this value. But with time artificially raised level of oxidation is decreased and gradually the usual value of parameter  $_{\rm s,d}E_{\rm mix}$  is set again within 0.9-1.1 V range. The described situation corresponds to experimental facts mentioned in review [4]. At the same time, the most favorable conditions for proceeding of ORR appear at potential  $E_{\text{start}}$ . This parameter corresponds to a minimal current on polarization curves in Tafel coordinates (as a rule, it is a value of about  $10^{-4} \text{ A} \cdot \text{sm}^{-2}$  [2, 4]). As data on measurement of currentless potential  $_{\rm s,d}E_{\rm mix}$  are virtually absent in the literature, therefore to characterize sum of all polarization energy losses on oxygen electrode (shift of potential of oxygen electrode due to presence of impurities in the electrolyte, activation of ORR process, methods of preparation of electrode surface, and other phenomena) a concept of mixed uncontrolled polarization  $\eta_{mix} = 1.23$  –  $E_{\text{start}}$  is introduced. Table 6 presents dependence of parameter  $\eta_{\rm mix}$  on structure of crystal surface (parameter  $\eta_{\rm mix}$ ) and on electrolyte nature. Values of parameter  $E_{\rm start}$  are presented for monocrystals in KOH solution [7, 8] and for polycrystalline (smooth) Pt-electrodes in KOH and H<sub>2</sub>SO<sub>4</sub> solutions [2].

Analysis of Table 6 data leads to the following conclusions.

- (1) Correlation between parameter  $_{\rm s,d}{\rm N}_{\rm Pt}$  and value of parameter  $\eta_{\rm mix}$  is detected on Pt monocrystal electrodes. The smallest value of parameter  $\eta_{\rm mix}$  corresponds to the densest packing of surface platinum atoms.
- (2) On smooth Pt-electrodes value of parameter  $\eta_{\rm mix}$  corresponds to similar value observed on Pt(111) monocrystals with the densest packing of surface platinum atoms.

As mentioned above, the ConHCR concept assumes proceeding of ORR with participation of SBNO nanoclusters. The author assumes that in the state of limiting oxidation of oxygen electrode surface ( $_{s,d}E=1.23\,\mathrm{V}$ ) an appearance of nanoclusters SBNO $_{1.23}$  with two surface  $_{sd}\mathrm{Pt}^{\mathrm{II}}$  atoms is highly probable (see Figure 2). After transition at potential  $E_{\mathrm{start}}$  into a state with an appreciable current nanoclusters SBNO $_{\mathrm{start}}$  with  $_{sd}\mathrm{Pt}^{\mathrm{II}}\,\mu_{s,d}\mathrm{Pt}^{\mathrm{I}}\,$  atoms prevailed on surfaces of monocrystalline and smooth polycrystalline Pt-electrodes.

In author's opinion, the appearance of SBNO<sub>1,23</sub> nanocluster on Pt(111) electrodes in alkaline media happens during stage I of 4-electron redox cycle of ORR process (see Figure 3). Experimental confirmation of concept of ORR proceeding with participation of oxygen-containing formations on surface of Pt-electrodes is provided in studies [5, 11]. In study [5] it is determined that in pure 0.1 M HClO<sub>4</sub> solution the ORR process on Pt(111) monocrystal electrode proceeds according to reaction (1). An addition of KBr solution (10<sup>-4</sup> M) sharply changes the direction of ORR (instead of H<sub>2</sub>O molecule a molecule of hydrogen peroxide H<sub>2</sub>O<sub>2</sub> is formed). A change of reaction paths correlates with change of state of monocrystal surface: introduction of Br ions result in disappearance from cyclic voltammograms of peaks corresponding to formation of oxygen-containing structures (see Table 1). As one may see, there are CVA peaks reflecting formation of surface oxygen-containing structures in pure HClO<sub>4</sub> on surface of Pt(111)-electrode at 0.8–0.9 V interval. At the same time, this range of potentials corresponds to realization of reaction (1) with formation of water molecules. Introduction of some number of Br ions is accompanied by disappearance of oxygen-containing structures on the Ptelectrode surface. Simultaneously potential of ORR starts to shift to 0.65 V. This value of the potential is close to the 0.695 V value of the standard potential of reduction of oxygen molecule to hydrogen peroxide [3, 16]. In publication [11] the fact that in pure 1M H<sub>2</sub>SO<sub>4</sub> solution at 0.90-0.95 V (RHE) potentials the ORR process proceeds in accordance with reaction (1) is established. An addition of 0.1 M HCl solution sharply changes the potential of start of ORR. This

$$\begin{array}{c|c} SBNO_{1.23} & SBNO_{start} \\ \hline [(OH)_{2sd}Pt^{II}(H_2O)_x - - O=O - - - _{sd}Pt^{II}(H_2O)_x(OH)_2] \\ \hline [(OH)_{2sd}Pt^{II}(H_2O)_x - - O=O - - - _{sd}Pt^{II}(H_2O)_x(OH)_2] \\ \hline [(OH)_{2sd}Pt^{II}(H_2O)_x - - O=O - - - _{sd}Pt^{II}(H_2O)_x(OH)_2] \\ \hline [(OH)_{2sd}Pt^{II}(H_2O)_x - - O=O - - - _{sd}Pt^{II}(H_2O)_x(OH)_2] \\ \hline \end{array}$$

FIGURE 2: Composition of SBNO nanoclusters in state of limiting oxidation of surface of oxygen electrode ( $_{s,d}E = 1.23 \text{ V}$ ) and in starting state of ORR reaction ([xPt]—platinum atoms from the electrode body,  $(H_2O)_x$ —water molecules from the coordination spheres of  $_{sd}$ Pt $^{I}$  and  $_{sd}$ Pt $^{I}$  atoms).

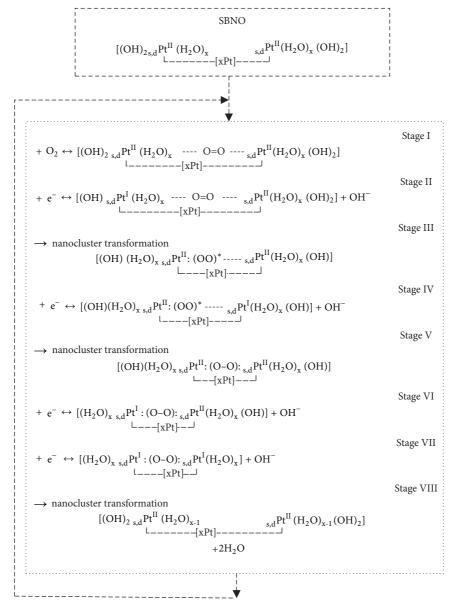


Figure 3: Redox cycle of ORR process in alkaline media on monocrystalline Pt(111) electrodes with participation of SBNO nanoclusters at potential  $_{\rm s,d}E_{\rm start}$ .

parameter has a dependence on type of Pt(hkl) monocrystal. For Pt(111), Pt(110), and Pt(100) monocrystals the potential of ORR start is equal to 0.720, 0.690, and 0.620 V, correspondingly. The change of ORR start potential is accompanied by complete disappearance of CVA peaks of formation of

oxygen-containing structures in the area of potential  $0.70\,\mathrm{V}$  (versus RHE).

Influence of I<sup>-</sup>, Br<sup>-</sup>, and Cl<sup>-</sup> ions in acidic media on state of Pt-electrode surface is described in study [19]. The effect is manifested in blocking of start of the process of formation of

oxygen-containing structures. In order of blocking efficiency the ions are placed in the I $^-$  > Br $^-$  > Cl $^-$  row. For example, concentrations at which the effect demonstrates 20% blocking have an order of  $10^{-7}$ ,  $10^{-6}$ , and  $10^{-5}$  mol l $^{-1}$ , correspondingly. The study [19] reports that there is no influence of any type of anion on state of Pt-electrode surface in alkaline media.

## 3. Discussion of ORR Redox Cycle on Pt-Electrodes

The stationary potential of platinum electrode  $E_{\text{stationary}}$  in acidic media has a linear dependence on logarithm of partial oxygen pressure with  $60 \,\text{mV} \,\text{dec}^{-1}$  slope value (see review [4, 28–31]). This experimental fact could be reflected with the following equation:

$$E_{\text{stationary}} = E_{(p=1)} + 2.3RT (nF)^{-1} \log p,$$
 (13)

where  $E_{\rm stationary}$  is the oxygen electrode potential in the absence of current (stationary state),  $E_{(p=1)}$  is the stationary (currentless) electrode potential at partial oxygen pressure p = 1 atm, and n is the quantity of electrons in electrochemical reaction. Let us note that value of  $2.3RT(nF)^{-1}$  parameter is equal to 60 mV for n = 1 condition. One may conclude that the electrochemical reaction proceeds with participation of a single electron. It means that stationary (currentless) electrode potential is determined by a single-electron electrochemical reaction. As already mentioned in the author's study [32], the formation of surface O2 structure with participation of single s.dPtII atom in the SBNO nanocluster may be such single-electron electrochemical reaction. But probability of appearance of individual O<sub>2</sub> radical on surface of platinum electrode during proceeding of ORR is very low. According to review [3] data the standard potential of O<sub>2</sub> +  $e^- = O_2^-$  reaction in water is equal to -0.284 V. The reaction  $O_2 + e^- + H^+ = OOH \text{ with } -0.053 \text{ (RHE)} \text{ potential in acidic}$ media has the higher probability of realization. Let us note that particle (OO)H may be formally considered as hydrogen superoxide. According to the molecular orbital theory an oxygen molecule has two unpaired electrons. After bonding of a single electron to an oxygen molecule in the formed anion radical O<sub>2</sub> there is still one unpaired electron. Therefore, the mentioned above reaction could be formally written as two consecutive reactions:  $H^+ + e^- = H^* u * O_2 * + H^* = * OO:H$ , where symbols "\*" and ":" indicate an unpaired electron and a covalent bond with shared pair of electrons, correspondingly.

By taking into account high negative value of the standard potential one may note that for standard ORR potentials within the 0.9–1.1 V (versus RHE) range the concentration of hydrogen superoxide \*OO:H on surface of platinum electrode is a parameter with extremely small value. A higher surface content of radical structure \*(OO) could be achieved due to a contributing factor which, as noted by the author in study [32], may be a formation of nanocluster state of hydroxide/superoxide platinum with a polar covalent bond [\*(OO): s.d Pt<sup>II</sup>(OH)].

In the ORR redox cycle in alkaline media on monocrystal Pt(111) electrodes (see Figure 3) this process is presented

by two stages: stage II and stage III. Both stages could be combined into single reversible nanocluster reaction

$$s_{s,d}Pt^{II}(OH)_{2} + {}^{*}O_{2}^{*} + e^{-}$$

$$\longleftrightarrow \left[{}^{*}(OO):_{s,d}Pt^{II}(OH)\right] + OH^{-}.$$
(14)

The Pt(111) electrodes were chosen as an example by taking into account the following experimental facts: first, reversibility of anodic and cathodic peaks on CVA (see Table 2.); second, absence of current on the ring electrode in the rotating ring-disk electrode (RRDE) method [7, 8].

Reaction (14) corresponds to quasi-equilibrium state of oxygen electrode which, according to the experimental data, is set within 0.95–1.0 V (versus RHE) interval both in alkaline [9, 25, 26] and in acidic [33] electrolytes. By taking into account that ratio of surface states  $_{\rm s,d}{\rm Pt^{II}(OH)_2}/{\rm [^*(OO):_{\rm s,d}{\rm Pt^{II}(OH)}]}=1$ , the stationary potential of quasi-equilibrium oxygen electrode could be defined by the Nernst equation (13). Let us note that at stationary potentials within 0.90–1.0 V (versus RHE) interval reaction (14) is, practically, in equilibrium state, as the  $_{\rm s,d}\Delta G^*$  parameter calculated according to (3) has values within –7 to –16 kJ mol<sup>-1</sup> range.

Let us note that during stage III only weakening of oxygen molecule's bonds happens in a course of *reversible* nanocluster chemical reaction

$$_{\text{s.d}}\text{Pt}^{\text{I}}\left(\text{OH}\right) + {^{*}\text{O}_{2}}^{*}\longleftrightarrow \left[{^{*}(\text{OO})}:_{\text{s.d}}\text{Pt}^{\text{II}}\left(\text{OH}\right)\right].$$
 (15)

Break-up of first bond in an oxygen molecule happens on stage V during formation of platinum hydroxide peroxide [(OH)Pt<sup>II</sup>:(O-O):Pt<sup>II</sup>(OH)] in the result of *irreversible* nanocluster chemical reaction

$$\begin{split} & \left[ \left( \text{OH} \right) \text{Pt}^{\text{II}} \text{:} \left( \text{OO} \right)^* \text{----Pt}^{\text{I}} \left( \text{OH} \right) \right] \\ & \longrightarrow \left[ \left( \text{OH} \right) \text{Pt}^{\text{II}} \text{:} \left( \text{O-O} \right) \text{:} \text{Pt}^{\text{II}} \left( \text{OH} \right) \right]. \end{split} \tag{16}$$

In principle, ORR process may stop on stage V in the result of destruction of surface structures of SBNO nanoclusters

$$[(OH)_{s,d}Pt^{II}:(O-O):_{s,d}Pt^{II}(OH)] + H_2O + OH^-$$

$$\longrightarrow 2_{s,d}Pt^{II}(OH)_2 + HO_2^-.$$
(17)

Formation of HO<sub>2</sub><sup>-</sup> ions in accordance with (16) may be detected with RRDE method. It was experimentally demonstrated (for example, [34–37]) that quantity of HO<sub>2</sub><sup>-</sup> ions detected on the ring depends on surface conditions of polycrystalline Pt-electrode. Preliminary anodic oxidation or cathodic reduction is accompanied by a change of ring/disk current ratio. For example, investigation of ORR in 0.1 M NaOH [34] indicated that change of anodic oxidation potential within 1.0 to 1.5 V (versus RHE) is accompanied by increase of ring/disk current ratio from 10 to 70%. In study [35] it was reported that on Pt-electrodes oxidized at 1.2 V (versus RHE) potential in KOH solutions with concentrations within a range from 0.1 to 6 M the ring/disk current ratio

is unchanged parameter with about 7% value. ORR process on Pt-electrode in 0.1 M KOH for cathodic polarization of 1.1 to  $-0.2\,\mathrm{V}$  (versus RHE) is accompanied by ring/disk current ratio within 20–25% interval [36]. On Pt-electrode, which was treated with preliminary cathodic reduction, the ORR process in 0.1 M KOH solution was accompanied by ring/disk current ratios within 3–5% range [37]. Let us note that in experiments with utilization of RRDE method on *monocrystalline* Pt-electrodes in 0.1 M KOH solution the formation of  $\mathrm{HO_2}^-$  ions on the ring electrode was *not detected* [7, 8].

For explanation of appearance of current on the ring during RRDE method a hypothesis is proposed that ORR processes may proceed (for example, in alkaline media) following two parallel pathways: directly to formation of OH<sup>-</sup> ions (see equation/reaction (2)) and through formation of various intermediate particles. A large number of various variants of formation of the intermediate particles are discussed in the literature (for example, in theoretical study [38] 10 different models/variants were investigated). Nevertheless, an explanation of reasons for parallel realization of two pathways of ORR process on surface of polycrystalline Pt-electrode and absence of the second pathway on *monocrystalline* Pt-electrodes is still missing in the literature. The author thinks that a concept of proceeding of ORR process with the very same single mechanism on all Pt-electrodes may serve as an alternative hypothesis. As experiments with utilization of RRDE method had demonstrated, on polycrystalline Pt-electrodes there are some conditions for stoppage of ORR process on stage V (see Figure 3). In this case the fraction of  $HO_2^-$  ions formed according to reaction (16) depends on level of perfection of structure of SBNO nanoclusters. Obviously, the perfection of structure of SBNO nanoclusters depends on the method of preparation of surface of polycrystalline Pt-electrodes for the experiment. In other words, multistage ORR process proceeds following the very same single mechanism with formation of intermediate (O-O) peroxide group on monocrystalline as well as on polycrystalline Pt-electrodes. The RRDE method only records an existence of stage with breakage of first bond in an oxygen molecule during some independent process. But it means that the mechanism of ORR proceeding directly following 4-electron reaction is absent. The ORR proceeds without the 4-electron reaction.

Break-up of the second bond in an oxygen molecule is realized on stage VII after two electrochemical reactions on stages VI and VII. The bond breaking happens due to irreversible nanocluster chemical reaction

$$\left[ s_{sd} Pt^{I} (O-O) : s_{sd} Pt^{I} \right] + 2H_{2}O \longrightarrow 2 s_{sd} Pt^{II} (OH)_{2}. \quad (18)$$

The redox cycle scheme in Figure 3 reflects ORR with slowed stage of ConHCR. Heterogeneous chemical reaction of oxidation by the molecular oxygen of electrochemically reduced surface <sub>s,d</sub>Pt atoms has several stages. The author believes that reaction (14) is a limiting factor for entire ConHCR. In reaction (14) *nanocluster surface transitional state* (NcSTS) with participation of <sub>s,d</sub>Pt atoms and an oxygen molecule is formed *for the first time*. Formed NcSTS creates conditions for transfer of first electron from <sub>s,d</sub>Pt

atoms into an oxygen molecule; it results in formation of [\*(OO): s,dPt<sup>II</sup>(OH)] structure. Formally this process may be considered as slowed transfer of first electron. Theoretical aspects of slowed transfer of first electron concept were multiple times discussed in the literature (e.g., [21, 38–43]). The basis of slowed transfer of first electron concept is a consideration that electron is transferred to an oxygen molecule from *neutral* surface Pt atom.

Quasi-equilibrium state of oxygen Pt-electrode is characterized by a fact that effect of unchanged potentials is observed in alkaline media during change of current from  $10^{-7}$  to  $10^{-6}$  A sm<sup>-2</sup> [9, 25, 44]. The provided above currents may be considered as exchange current of reaction (14). An analogous experimental effect is also observed in acidic media [33, 44]. But in this case reaction (14) shall be transformed by accounting for a change of composition and structure of SBNO nanoclusters in acidic media [45]. Peculiarity of the cited above experiments is in utilization of specific methods of measurements, as described in study [9]. Each experiment was started with several alternations of anodic and cathodic polarization at potentials of 2.0 and 0.05 V (versus RHE), correspondingly. After final cathodic polarization the cycle of measurements of ORR parameters was performed (realization of "oxide-free" state [28, 29]). By accounting for thermodynamic probability of proceeding of reactions (10) and (11) the author concludes that the more correct definition of the achieved state should be "oxide fresh" state.

Let us note that exchange currents on Pt-electrode, according to studies [26, 33], depend on temperature. This experimental fact and the change of activation energy of ORR at 0.8 V potential [26, 33] should become a subject of independent research efforts.

Let us also note that the concept of proceeding of ORR with slowed stage of ConHCR allows us an understanding of the experimental fact of dependence of angle of polarization curves in alkaline media in Tafel coordinates (coefficient *b*) on type of Pt-electrode, current characteristics, and sizing of particles of disperse platinum. At low values of the current the coefficient b is equal to 60 mV per dec on all types of Ptelectrodes (smooth platinum [26, 27, 35], disperse platinum on carbon substrate [46]). At high current values the various values of coefficient b were reported: 120 mV per dec [26, 27, 46] and 200-300 mV per dec range [35]. On electrodes with platinum nanoparticles on disperse carbon [47] we detected dependence of coefficient b from size of platinum nanoparticles. An increase of platinum nanoparticles size from 3 to 24 nm resulted in corresponding decrease of the coefficient b from 80 to 65 mV per dec.

Dependence of currentless (stationary) potential of oxygen electrode on oxygen pressure (see (13)) was observed in solutions of various electrolytes: KOH [31],  $\rm H_2SO_4$  [30, 31, 48], and  $\rm HClO_4$  [28, 29]. This experimental fact indicates a single-electron character of reaction which defines the nature of currentless potential of platinum oxygen electrode. In alkaline media, it may be a reaction of formation of platinum superoxide [49]. A process of occurrence of transitional state based on platinum superoxide would be the slowed stage of reaction (14), as well as of the whole ORR on Pt-electrodes

in any alkaline solution. A validity of this assumption is confirmed by identical slopes (about 60 mV/dec) of polarization curves in Tafel coordinates for various alkaline solutions (for example, KOH [31], NaOH [9],  $K_2CO_3$  [18],  $K_2B_4O_7$  [9], and KF [9]). In terms of the concept of electrochemical reaction with slowed stage of ConHCR, it means *energetic homogeneity* of electrode surface according to Temkin at low current values.

#### 4. Conclusion

Four specialized parameters  $_{s,d}\Delta G^*$ ,  $_{s,d}N_{Pt}$ ,  $\eta_{mix}$ , and  $_{s,d}R$  (Gibbs energy of a process with participation of surface platinum atoms,  $_{s,d}Pt$ ; packing density of  $_{s,d}Pt$  atoms; uncontrolled activation polarization; level of surface oxidation as a ratio  $_{s,d}Pt^{II}/_{s,d}Pt^{I}$  atoms, correspondingly) were used for characterization of surfaces of monocrystalline and polycrystalline Pt-electrodes.

Parameter  $_{\rm s,d}\Delta G^*$  is the thermodynamic characteristic of proceeding of electrochemical and chemical reactions with participation of surface  $_{\rm s,d}$ Pt atoms. Parameter  $_{\rm s,d}\Delta G^*$  was calculated from literature experimental data on cyclic voltammograms on Pt(hkl) monocrystals and polycrystalline Pt-electrodes. The parameter was determined for surface oxygen-containing structures (which serve as building blocks for formation of SBNO nanoclusters and therefore define the structure of SBNO nanoclusters) in nitrogen atmosphere, as well as in molecular oxygen atmosphere.

Parameter  $_{s,d}N_{Pt}$  (quantity of  $_{s,d}Pt$  atoms per 1 nm² of Pt-electrode surface) characterizes packing density of Pt atoms on surface of Pt(hkl) monocrystals. The densest packing of  $_{s,d}Pt$  ( $_{s,d}N_{Pt}$  = 17) atoms is determined for Pt(111) monocrystal. Monocrystals Pt(110) and Pt(100) are characterized by less dense packing of surface platinum atoms ( $_{s,d}N_{Pt}$  = 9 and 13, correspondingly). For monocrystalline Pt-electrodes an increase of  $_{s,d}N_{Pt}$  parameter correlates with decrease of  $_{s,d}\Delta G^*$  parameter. The value of  $_{s,d}\Delta G^*$  parameter for polycrystalline Pt-electrodes is close to value of  $_{s,d}\Delta G^*$  parameter for Pt(111) monocrystals with the most dense packing of surface Pt atoms.

Parameter  $\eta_{\rm mix}=1.23-E_{\rm start}$  characterizes all types of polarization effects (e.g., shift of oxygen electrode potential due to presence of impurities in electrolyte, nature of electrolyte, activation of ORR process, and methods of preparation of electrode surface). The correlation between  $_{\rm s,d}N_{\rm Pt}$  and  $\eta_{\rm mix}$  parameters was detected. The smallest value of parameter  $\eta_{\rm mix}$  corresponds to the densest packing of surface platinum atoms of Pt(111) monocrystal. On smooth Pt-electrodes value of parameter  $\eta_{\rm mix}$  corresponds to value observed on Pt monocrystals with the densest packing of surface platinum atoms.

Parameter  $_{s,d}R$  characterizes the ratio of  $_{s,d}Pt^{II}/_{s,d}Pt^{I}$  atoms on surface of Pt-electrodes and as such reflects level of oxidation of platinum surface. In the stationary state  $_{s,d}Pt^{II}$  atoms prevail over  $_{s,d}Pt^{I}$  atoms on surface of Pt-electrodes ( $_{s,d}R\gg 1$ ). At potential of start of ORR, the quantity of  $_{s,d}Pt^{I}$  atoms increases and value of  $_{s,d}R$  parameter sharply changes ( $_{s,d}R\approx 1$ ). Such situation was interpreted as activation

of SBNO nanoclusters and appearance of conditions for proceeding of ORR.

Analysis of role of oxygen-containing nanoclusters in proceeding of ORR on Pt-electrodes was conducted on the basis of concept of electrochemical process with slowed stage of consecutive heterogeneous chemical reaction. Under this concept the main factor determining the ORR characteristics is energetic inhomogeneity of electrode surface according to Temkin. New concept, according to which EIES is determined by the Gibbs energy of formation of OSS ( $_{s,d}\Delta G^*$ parameter) with participation of surface platinum atoms, s,dPt, was formulated. Analysis of determined values of  $_{\rm s,d}\Delta G^*$  parameter resulted in the following conclusions. First, the OSS based on  $_{s,d} Pt^{\rm I}({\rm OH})$  and  $_{s,d} Pt^{\rm II}({\rm OH})_2$  hydroxides are formed as a result of chemical reaction of oxidation by the molecular oxygen of s.dPt atoms in alkaline medium. Second, in a currentless state the OSS based on sdPt<sup>II</sup>(OH)<sub>2</sub> hydroxide predominate on the surface of Pt-electrodes; correspondingly SBNO nanoclusters based on  $_{\rm s,d}{\rm Pt^{II}}$  also predominate on the surface of Pt-electrodes. Third, at ORR potentials the EIES of polycrystalline Pt-electrodes is a result of combination of characteristics of multiple different Pt(hkl) monocrystals.

A correlation between EIES according to Temkin and packing density of s,dPt atoms was determined. Pt(111) monocrystals have the most dense packing of s,dPt atoms. Therefore, such crystals are characterized by the energetic homogeneity of electrode surface according to Temkin. The high level of energetic inhomogeneity of electrode surface of Pt(110) and Pt(100) monocrystals is caused by low packing density of subsurface layer on energetic characteristics of the electrode surface. In turn, the surface of polycrystalline platinum electrodes is characterized by complex mosaic of Pt(hkl) monocrystals and corresponding complex EIES character.

The redox cycle of multistage ORR in alkaline media with participation of SBNO nanoclusters and slowed stage of ConHCR was proposed. The concept according to which the stage of formation of primary nanocluster transitional state determines rate of the whole ORR process was substantiated. Formation of platinum superoxide also happens during this stage.

### **Data Availability**

All data supporting the results and conclusions of this research work are included in the paper's tables and figures; therefore, such data are unavailable anywhere else.

#### **Conflicts of Interest**

The author declares that there are no conflicts of interest regarding the publication of this manuscript.

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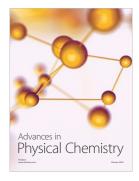


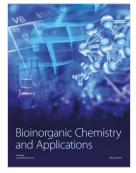














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